



2451

Attorney Docket No.: CIS00-3333**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Paul J. Russell
Serial No.: 09/704,810
For: METHODS AND APPARATUS FOR EXCHANGING EVENT
INFORMATION BETWEEN COMPUTER SYSTEMS
Filing Date: November 2, 2000
Examiner: Unassigned
Art Unit: 2151

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Date: July 30, 2003

By: Tammy L. Rosado
(Typed or printed name of person mailing
Document, whose signature appears below)

Signature: Tammy L Rosado

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed is/are:

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Information Disclosure Statement, Total Pages: 3;
- [x] PTO-1449 Form, Total Pages: 1;
- [x] Copies of cited references enclosed, Total References: 11;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required.

U.S. Application No.: 09/704,810

Attorney Docket No.: CIS00-3333

- 2 -

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Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By: _____

Paul P. Kriz, Esq.

U.S.P.T.O. Registration No.: 45,752

Attorney for Applicant

CHAPIN & HUANG, L.L.C.

Westborough Office Park

1700 West Park Drive

Westborough, Massachusetts 01581

Telephone: (508) 366-9600

Facsimile: (508) 616-9805

Attorney Docket No.: CIS00-3333

Dated: July 30, 2003



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Signature: *Tammy L. Rosado*

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);
- ☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);

- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a Petition under 37 CFR 1.97(d)(2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____ be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

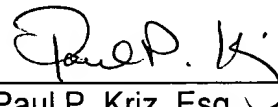
Method of payment:

- ☒ No fee is required for this Information Disclosure Statement.
- ☐ Enclosed is a check in the amount of \$_____.
- ☐ A copy of this Statement is enclosed.

Applicant hereby petitions for any extension of time which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee, that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

Respectfully submitted,

By: _____


Paul P. Kriz, Esq.
U.S.P.T.O. Registration No.: 45,752
Attorney for Applicant
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Westborough Office Park
1700 West Park Drive
Westborough, Massachusetts 01581
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Attorney Docket No.: CIS00-3333

Dated: July 30, 2003

PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				ATTORNEY DOCKET NO. CIS00-3333		APPLICATION SERIAL NO. 09/704,810	
				APPLICANT Paul J. Russell		FILING DATE November 2, 2000	
				GROUP			
U. S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	6,477,580	11/5/02	Bowman – Amuah	709	231	8/31/99
	AB	6,061,717	5/9/00	Carleton, et al.	709	205	3/31/97
	AC	5,649,104	7/15/97	Carleton, et al.	395	200.04	3/19/93
	AD	5,649,105	7/15/97	Aldred, et al.	395	200.04	11/26/94
	AE	6,084,590	7/4/00	Robotham, et al.	345	419	10/10/97
	AF	6,584,493	6/24/03	Butler	709	204	9/14/99
	AG	6,432,901	8/13/02	Cong-Yun, et al.	510	376	12/19/00
	AH	6,377,281	4/23/02	Rosenbluuth, et al.	345	700	2/17/00
	AI	5,539,886	7/23/96	Aldred, et al.	395	200.04	5/26/94
	AJ	6,289,299	9/11/01	Daniel, et al.	703	21	2/17/99
	AK	6,115,053	9/5/00	Perlin	345	475	8/2/94
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AR						
	AS						
	AT						
EXAMINER				DATE CONSIDERED			

